Administrative Leadership Meeting

Randy Woodson
Chancellor
Tuesday, May 12, 2020
Coronavirus Update

- Phase One of Governor Cooper’s plan took effect Friday, May 8
- Remote working continues for majority of NC State
- On-campus operations will be phased in safely
Legislative Update

- COVID-19 Relief
  - House Bill 1043 – Funding ($1.57 billion)
    - $44.4 million to UNC Board of Governors
      - Costs related to moving coursework online
      - Implementation of a digital learning accelerator
      - Costs already incurred as well as additional estimated costs to respond to COVID-19 (facility sanitation, etc.)
      - Necessary eligible expenses for students/employees
  - Senate Bill 704 – Policy
    - Waiver of interest charges on UNC student debt
    - Extension of UNC report dates
    - Limited immunity for educational institutions related to COVID-19
    - Various changes to Educator Preparation Programs
Legislative Update (continued)

- **Next Steps**
  - $2 billion in federal funding left to appropriate in response to COVID-19
  - Potential $4 billion shortfall in State’s budget
  - UNC Board of Governors Amended Budget Priorities:
    - “Must do” items – Enrollment growth, building reserves, repair and renovation funding, salary increases, NIIMBL grant
Student Awards

- Knight-Hennessy Scholar
- Goldwater Scholars
- Hollings Scholar
Office of General Counsel
Update to ALM

Allison B. Newhart
Vice Chancellor and General Counsel
May 12, 2020
Office of General Counsel Update

I. Background and History of General Counsel

II. Current Structure and Work of the Office

III. Future plans
Role of General Counsel: Not Just For Defending Lawsuits

- Legal advice
- Strategic advice
- Risk Management
- Business advice
- Compliance
- Committees
- Preventive strategies/Best practices
- Crisis management
- Communication
NC State Office of General Counsel

[Diagram showing organizational structure with titles and names of staff members]
NC State Office of General Counsel

• Focus: providing mission-oriented legal counsel and representation and strategic advice, and coordinating creative solutions, on a wide range of matters affecting the university community.

• NC State’s land grant mission: As a research-extensive land-grant university, North Carolina State University is dedicated to excellent teaching, the creation and application of knowledge, and engagement with public and private partners. By uniting our strength in science and technology with a commitment to excellence in a comprehensive range of disciplines, NC State promotes an integrated approach to problem solving that transforms lives and provides leadership for social, economic, and technological development across North Carolina and around the world.
Supporting the Mission of NC State

• Legal and strategic advice and counsel
• Creative problem solving
• Connection, coordination and communication
• Understanding trends and best practices
• Being a resource for our campus community
Legal Work: Areas of Practice

- Employment
- Faculty/Academic Affairs
- Student Affairs
- Athletics
- Compliance
- Contracts – Events, Purchasing, etc.
- Real Estate
- Policies, Rules and Regulations
- Lawsuits, subpoenas
- Public Records
- Ethics, Governance and Board of Trustees
- Litigation
OGC by the numbers:
Transactions FY18-19

Innovation & Economic Prosperity
(Transactions)
Total = 472
OGC by the numbers:
Lawsuits FY 18-19

Litigation Cases by Type
Total = 20

- Residency: 1
- Civil Rights: 3
- Employment: 3
- Tort/Liability: 13
OGC by the numbers: Administrative Proceedings FY 18-19

Administrative Proceedings
Total = 21

EEOC: 6 Pending, 6 Closed
OAH-CRD: 2 Pending, 2 Closed
OSP-OAH Hearings: 2 Pending, 2 Closed
Grievances: 2 Pending, 2 Closed
DOL: 1 Pending, 1 Closed
OGC by the numbers:
Training FY 18-19

Professional Development and Training (by Participation)

- 1st Amendment: 7
- Boards/Governance: 111
- Compliance: 119
- Discrimination: 32
- Faculty Affairs: 150
- FERPA: 95
- GDPR/Privacy: 118
- HIPAA: 393
- NCAA/Athletic Issues: 105
- OGC Overview: 190
- Student Life: 88
- Title IX: 150

1458 Total Participants Reached
OGC by the numbers:
Some Statistics for FY19-20, so far…

• 193 Records Requests
• 12 Subpoenas
• 20+ Lawsuits and Administrative Proceedings
Office of General Counsel
Public Records Unit

• Records Requests
  – FY18-19: 214 requests last year
  – FY19-20: 193 requests this year
• Creation and maintenance of records
• Records retention and disposition schedule

Lynda G. Mottershead
University Records Officer
lgmotter@ncsu.edu
919-515-2002
Compliance and Integrity Program

- [https://compliance.ncsu.edu](https://compliance.ncsu.edu)
- Goal: “to enhance compliance consciousness in the campus community by helping NC State’s faculty, staff and other community members perform their roles and responsibilities to the best of their ability and with the highest level of integrity. The Program is an enterprise-wide commitment that requires contributions from everyone to ensure its success.”
Compliance and Integrity Program
Reporting Mechanisms

- Ethics Point Hotline 1-844-599-8786
- Fraud, Waste, and Abuse of State Property Hotline 919-515-8355
- Clery Act Reporting 919-515-3000
- Discrimination, Harassment, Retaliation, and Title IX Reporting
- Research Compliance
- Occupational Accidents, and Illnesses Reporting
- Athletics Compliance 1-855-5COMPLY (526-6759)
Policies, Rules and Regulations ("PRRs")

- [https://policies.ncsu.edu/](https://policies.ncsu.edu/)
  - 30 policies revised
  - 12 rules revised
  - 14 regulations repealed
- Process for approval and revision
- Interpret and advise on policy, rule, and regulations
Coordination and Communication

• Data security and privacy groups
• Operations group
• Council on Athletics
• Strategic planning task forces
• Advancement Roadmap group
• Other committees and groups university-wide
Legal Support for NC State’s COVID-19 Response

• Study abroad
• Student health/counseling
• PPE
• Contract interpretation
• Athletics
• Employment and HR issues
• Disability/accommodation issues
• Planning for the return
• New regulations, i.e., CARES Act
OGC Resources

- Website: [https://generalcounsel.ncsu.edu/](https://generalcounsel.ncsu.edu/)
  - FAQs
  - Contracts Library
  - Records Retention & Disposition Schedule
- OGC Quick Reference Guide (ALM handout)
Office of General Counsel: Looking into the Future

• Staffing and practice groups
• Office Strategic Plan
• Outreach and Training
• We want to hear from you…
  – Ideas for FAQs
  – Ideas for training or workshops
QUESTIONS?

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